

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

120203

In re application of:

Hiroshi KUBOTA of Nagano, Japan

Serial No: Not assigned

Filed: December 2, 2003

For: Optical Head Device

22264 U.S. PTO
10/727107

Mail Stop PATENT APPLICATION
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

CORRESPONDENCE ADDRESS:

 Customer Number

000026021

Transmitted herewith for filing is the patent application identified above.

- 6 sheet(s) of drawings (formal informal) is(are) enclosed.
 22 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
 An assignment of the invention to SANKYO SEIKI MFG. CO., LTD. is enclosed will follow.
 An associate power of attorney is enclosed will follow.
 Applicant claims small entity status. See 37 CFR 1.27.
 Declaration and Power of Attorney is enclosed will follow.
 A certified copy of Japanese Patent Application No. 2002-359019 filed December 11, 2002 from which priority is claimed under 35 U.S.C. § 119 is enclosed.
 IDS enclosed (with 1 reference(s)).
 Preliminary Amendment is enclosed.
 Application data sheet is enclosed. See 37 CFR 1.76.
 Return postcard is enclosed.

CALCULATION OF FEES

ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE	\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	20	-20	0	LG=\$18 SM=\$9	\$18	\$ 0
B	INDEPENDENT CLAIMS FEE*	3	-3	0	LG=\$86 SM=\$43	\$86	\$ 0
C		SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)				0	
D	MULTIPLE-DEPENDENT CLAIMS FEE		LARGE ENTITY FEE = \$290 SMALL ENTITY FEE = \$145				\$ 0
E	BASIC FEE		LARGE ENTITY FEE = \$770 SMALL ENTITY FEE = \$385				\$ 770
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)						\$ 770
G	ASSIGNMENT RECORDING FEE						\$ 40
*LIST INDEPENDENT CLAIMS 1, 15 and 16.							

- Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.
 A check in the amount of \$ 0 to cover the filing fee is enclosed.
 A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.
 The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.
 Any additional filing fees required under 37 C.F.R. 1.16
 Any patent application processing fees under 37 C.F.R. 1.17

Date: December 2, 2003

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HOGAN & HARSHON LLP

By:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hiroshi KUBOTA

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Art Unit: Not assigned

Examiner: Not assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

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Date of Deposit: December 2, 2003

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Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that

- two copies of a letter of transmittal
- patent application (22 page(s) of specification; 20 claim(s); 1 page(s) of abstract)
- 6 sheet(s) of formal drawings
- certified copy of Japanese patent application No. 2002-359019 which was filed December 11, 2002 from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119
- Information Disclosure Statement with 1 reference
- return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

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P.O. Box 1450
Arlington, VA 22313-1450

Date: December 2, 2003

Reynaldo Gallardo

Name of person mailing papers


Signature

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